



DATA PROTECTION POLICY

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R. HORTON	DECEMBER 2018	DECEMBER 2020



OULTON
PRIMARY SCHOOL

Data Protection Policy

What is the GDPR?

This is a European Directive that will be brought into UK law with an updated Data Protection Act for May 2018.

The current Data Protection Act 1998 will be repealed and replaced with the Data Protection Act 2018.

What is the point of the GDPR?

The GDPR and new DPA exist to look after individual's data. It is a series of safeguards for every individual. Information about individuals needs to be treated with respect and be secure. The GDPR exists to protect individual rights in an increasingly digital world.

Who does it apply to?

Everyone, including schools. As Public Bodies schools have more obligations than some small businesses. It is mandatory to comply with the GDPR and proposed provisions in the new Act.

We want to make sure information about pupils, parents, staff and volunteers is kept secure and within the law.

What is Data?

Any information that relates to a living person that identified them. This can be by name, address or phone number for example. It also relates to details about that person, which can include opinions.

Some data is considered to be more sensitive, and therefore more important to protect. This is information about racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, data concerning health or sex life and sexual orientation, genetic data, and biometric data where processed to uniquely identify a person.

School collects sensitive data for DfE and LA requirements and of course pupil data may contain information about safeguarding, SEN or health needs. Information about other family members may also be on the school file.

School also publishes a Privacy / Fair Processing Notice on the website.

What are the key principles of the GDPR?

Lawfulness, transparency and fairness: School must have a legitimate reason to hold the data, we explain this in the Data Privacy Notices on the website. We often ask for consent to use data about a pupil for a particular purpose. If you wish to withdraw consent we have a



form to complete to allow us to process your request. There are sometimes when you cannot withdraw consent as explained in 'Data Subjects Rights'.

Collect data for a specific purpose and use it for that purpose: So, data cannot be used for a purpose that it was not originally collected for, or where notice has not been given about how data may be used after collection.

Limited collection: Data controllers should only collect the minimum amount of data needed for a particular task or reason. If there is a breach or a hack only limited information can be lost.

Accuracy: Data collected should be accurate, and steps should be taken to check and confirm accuracy. We do this when pupils join the school and check on an annual basis. If a Data Subject feels that the information held is inaccurate, should not longer be held by the Controller or should not be held by the Controller in any event a dispute resolution process and complaint process can be accessed, using the suitable forms.

Retention

School has a retention policy that explains how long we store records for. We hold pupil data for varying periods of time, dependent on the nature of the data. Our retention periods are detailed in the Information Management Toolkit for Schools, produced by the Information and Records Management Society. A copy of the toolkit can be viewed by going to <http://irms.org.uk/page/SchoolsToolkit>

Security

We have processes in place to keep data safe. That might be paper files, electronic records or other information. Where stored in paper form, documents are stored in secured cupboards and cabinets. We have a clear desk policy in school- paperwork is always secured at the end of the working day.

Data stored electronically is stored on the school servers. Computing equipment is encrypted to prevent loss of data. Only encrypted USB drives are authorised to be used- this is automatically enforced by the school system to prevent the risk of losing school data stored on data sticks.



Who is a 'data subject'?

Someone whose details we keep on file. Some details are more sensitive than others. The GDPR sets out collection of details such as health conditions and ethnicity which are more sensitive than names and phone numbers.

Data subjects' rights

Individuals have a right:-

- to be informed
- of access to data stored about them or their children
- to rectification if there is an error on the data stored
- to erasure if there is no longer a need for school to keep the data
- to restrict processing, i.e. to limit what is done with their data
- to object to data being shared or collected

There are other rights that relate to automated decision making and data portability that are not directly relevant in schools.

Data subjects rights are also subject to child protection and safeguarding concerns, sharing information for the prevention and detection of crime. Schools also have legal and contractual obligations to share information with organisations such as the Department for Education, Social Care, the Local Authority and HMRC amongst others. In some cases these obligations override individual rights.

Subject Access Requests

You can ask for copies of information that we hold about you or a pupil who you have parental responsibility for or are a parent of at school. This Subject Access Request process is set out separately. You need to fill out the form, and you may need to provide identification evidence for us to process the request.

We have to provide the information within a month, but this can be extended if, for example, the school was closed for holidays. The maximum extension is up to two months.

When we receive a request we may ask you to be more specific about the information that you require. This is to refine any queries to make sure you access what you need, rather than sometimes getting a lot of information that may not be relevant to your query.

In some cases we cannot share all information we hold on file if there are contractual, legal or regulatory reasons.



We cannot release information provided by a third party without their consent, or in some cases you may be better to approach them directly, e.g. school nurses who are employed by the NHS.

We will supply the information in an electronic form.

If you wish to complain about the process, please see our complaints policy and later information in this DPA policy.

Who is a 'data controller'?

Our school governing body is the data controller. They have ultimate responsibility for how school manages data. They delegate this to data processors to act on their behalf.

Who is a 'data processor'?

This is a person or organisation that uses, collects, accesses or amends the data that the controller has collected or authorised to be collected. It can be a member of staff, a third-party company, possibly a governor, a contractor or temporary employee. It can also be another organisation such as the police or the LA.

Data controllers must make sure that data processors are as careful about the data as the controller themselves. The GDPR places additional obligations on organisations to make sure that Data Controllers require contractual agreements to ensure that this is the case.

Processing data

School must have a reason to process the data about an individual. Our privacy notices set out how we use data. The GDPR has 6 conditions for lawful processing and any time we process data relating to an individual it is within one of those conditions.

If there is a data breach we have a separate policy and procedure to follow to take immediate action to remedy the situation as quickly as possible.

The legal basis and authority for collecting and processing data in school are:-

- consent obtained from the data subject or their parent
- performance of a contract where the data subject is a party
- compliance with a legal obligation
- to protect the vital interests of the data subject or other associated person
- to carry out the processing that is in the public interest and/or official authority
- it is necessary for the legitimate interests of the data controller or third party
- in accordance with national law.



In addition, any special categories of personal data are processed on the grounds of

- explicit consent from the data subject or about their child
- necessary to comply with employment rights or obligations
- protection of the vital interests of the data subject or associated person
- being necessary to comply with the legitimate activities of the school
- existing personal data that has been made public by the data subject and is no longer confidential
- bringing or defending legal claims
- safeguarding
- national laws in terms of processing genetic, biometric or health data.

Processing data is recorded within the school systems.

Data Sharing

Data sharing is done within the limits set by the GDPR. Guidance from the Department for Education, health, the police, local authorities and other specialist organisations may be used to determine whether data is shared.

The basis for sharing or not sharing data is recorded in school.

Breaches & Non-Compliance

If there is non-compliance with the policy or processes, or there is a DPA breach as described within the GDPR and DPA 2018 then the guidance set out in the Breach & Non-Compliance Procedure and Process needs to be followed.

Protecting data and maintaining data subjects' rights is the purpose of this policy and associated procedures.

It is important that any non-compliance is brought to the attention of the Data Protection Officer to enable an action plan to be developed and implemented. This record will also serve as a useful mechanism to identify trends, risks and potential breach hazards.

By having an agreed timescale for review, identifying training needs that may be applicable to an individual or group of people will assist future compliance.

Consent

As a school we will seek consent from staff, volunteers, young people, parents and carers to collect and process their data. We will be clear about our reasons for requesting the data and how we will use it. There are contractual, statutory and regulatory occasions when consent is not required.

However, in most cases data will only be processed if explicit consent has been obtained.



Consent is defined by the GDPR as “any freely given, specific, informed and unambiguous indication of the data subject's wishes by which he or she, by a statement or by a clear affirmative action, signifies agreement to the processing of personal data relating to him or her”.

We may seek consent from young people also, and this will be dependent on the child and the reason for processing.

Consent and Renewal

On the school website we have 'Privacy Notices' that explain how data is collected and used. It is important to read those notices as it explains how data is used in detail.

Obtaining clear consent and ensuring that the consent remains in place is important for school. We also want to ensure the accuracy of that information.

For Pupils and Parents/Carers: On arrival at school you will be asked to complete a form giving next of kin details, emergency contact and other essential information. We will also ask you to give consent to use the information for other in school purposes, as set out on the data collection/consent form.

We review the contact and consent form on an annual basis. It is important to inform school if details or your decision about consent changes. A form is available.

Pupil Consent Procedure: Where processing relates to a child under 16 years old, school will obtain the consent from a person who has parental responsibility for the child.

Pupil's may be asked to give consent or to be consulted about how their data is obtained, shared and used in certain situations.

Withdrawal of Consent

Consent can be withdrawn, subject to contractual, statutory or regulatory constraints. Where more than one person has the ability to provide or withdraw consent the school will consider each situation on the merits and within the principles of GDPR and also child welfare, protection and safeguarding principles.

Please complete the appropriate form.

CCTV Policy

Please also see the CCTV and IT Security policy

We use CCTV and store images for a period of time in line with the policy. CCTV may be used for:- Detection and prevention of crime; School staff disciplinary procedures; Pupil



behaviour and exclusion management processes; To assist the school in complying with legal and regulatory obligations.

Data Protection Officer

We have a Data Protection Officer whose role is to:-

- to inform and advise the controller or the processor and the employees who carry out processing of their obligations under the GDPR
- to monitor compliance with the GDPR and DPA
- to provide advice where requested about the data protection impact assessment and monitor its performance
- To be the point of contact for Data Subjects if there are concerns about data protection
- to cooperate with the supervisory authority and manage the breach procedure
- to advise about training and CPD for the GDPR

The school's Data Protection Officer role is provided by Schools Legal Support- part of J A Walker Solicitors. Contact: info@jawalker.co.uk

Physical Security

In school, every secure area has individuals who are responsible for ensuring that the space is securely maintained and controlled if unoccupied, i.e. locked. Offices and cupboards that contain personal data should be secured if the processor is not present.

The Premises supervisor is responsible for authorising access to secure areas along with SLT.

All Staff, contractors and third parties who have control over lockable areas must take due care to prevent data breaches.

Secure Disposal

When disposal of items is necessary a suitable process must be used. This is to secure the data, to provide a process that does not enable data to be shared in error, by malicious or criminal intent.

These processes, when undertaken by a third party are subject to contractual conditions to ensure GDPR and DPA compliance.

Complaints & the Information Commissioner Office (ICO)

The school Complaint Policy deals with complaints about Data protection issues.



There is a right to complain if you feel that data has been shared without consent or lawful authority.

You can complain if you have asked to us to erase, rectify, not process data and we have not agreed to your request.

We will always try to resolve issues on an informal basis, and then through our formal complaints procedure. Please complete the form, and we will contact you with more details about the timescale and process.

In the UK it is the ICO who has responsibility for safeguarding and enforcing the DPA obligations. Email: casework@ico.org.uk Helpline: 0303 123 1113 web: www.ico.org.uk

Review

A review of the effectiveness of GDPR compliance and processes will be conducted by the Data Protection Officer every 12 months.



Appendix A: Consent Withdrawal Form – on behalf of Pupil

Please complete and deliver this form to the school office with your signature.

Please note that as a school we may have contractual, statutory and/or regulatory reasons why we will still process and hold details of a pupil, parent, staff member, volunteer or other person.

Where two parents share parental responsibility, or where PR is shared and the pupil is capable of expressing a view and there is conflict between the individuals the process of withdrawing consent will be subject to an evaluation and discussion to enable a decision to be reached that is considered to be in the pupil's best interests.

We may need to seek identification evidence and have sight of any Court Order or Parental Responsibility Agreement in some cases to action this request. If this is the case a senior member of school staff will discuss this with you.

Withdrawal of consent on behalf of a pupil

I, , withdraw consent in respect of
..... (Pupil Name) for Oulton Primary
School to process my personal data. I withdraw consent to process their personal data for
the purpose of
..... , which
was previously granted.

I confirm that I am
(Parent/Carer) and that I have parental responsibility for the pupil.

Signed:

Date:

Received by school staff member:

Dated:

Actions:



Appendix B: Consent Withdrawal Form - Individual

Please complete and deliver this form to the school office with your signature.

Please note that as a school we may have contractual, statutory and/or regulatory reasons why we will still process and hold details of a pupil, parent, staff member, volunteer or other person.

Where two parents share parental responsibility, or where PR is shared and the pupil is capable of expressing a view and there is conflict between the individuals the process of withdrawing con-sent will be subject to an evaluation and discussion to enable a decision to be reached that is con-sidered to be in the pupil's best interests.

Withdrawal of consent for an individual

I, , withdraw consent for Oulton Primary School to process my personal data. I withdraw consent to process my personal data for the purpose of , which was previously granted. Signed:

Date:

Received by school staff member:

Dated:

Actions:

